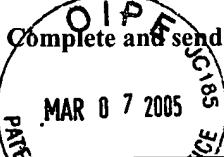


**PART B - FEE(S) TRANSMITTAL**



Complete and send this form, together with applicable fee(s), to: Mail

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**

or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All other correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated in Block 1, corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590 12/07/2004

Stephen M. De Klerk  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026  
03/08/2005 NNGUYEN2 00000111 10055108

01 FC:1501 1400.00 0P  
02 FC:8001 30.00 0P

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/055,108	01/22/2002	Wayne V. Sorin	005489.P006X	8937

TITLE OF INVENTION: OPTICAL FIBER CLADDING WITH LOW POLARIZATION-DEPENDENT COUPLING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$0	\$1370	03/07/2005
EXAMINER		ART UNIT	CLASS-SUBCLASS		
HEALY, BRIAN		2883	385-123000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed in recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Novera Optics, Inc.**

**Palo Alto, California**

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies ten (10)

A check in the amount of the fee(s) is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge the required fee(s), or credit any overpayment. Deposit Account Number 02-2666 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party of record as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

3-3-05

Typed or printed name

Thomas S. Ferrill

Registration No.

42,532

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2005**

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**TOTAL AMOUNT OF PAYMENT (\$)** 1,430.00**Complete if Known:**

Application No. 10/055,108  
Filing Date January 22, 2002  
First Named Inventor Wayne V. Sorin  
Examiner Name Healy, Brian  
Art Unit 2883  
Attorney Docket No. 5489P0006X

Applicant claims small entity status. See 37 CFR 1.27.

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify)

**Deposit Account**Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.  
 Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.  
 Charge fee(s) indicated below except for the filing fee.  
 Credit any overpayments.  
 Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form.  
Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	<u>Fees Paid (\$)</u>
1011	300	2011	150	Utility application filing fee	{ 1,000/500
1111	500	2111	250	Utility search fee	
1311	200	2311	100	Utility examination fee	
1012	200	2012	100	Design application filing fee	{ 430/215
1112	100	2112	50	Design search fee	
1312	130	2312	65	Design examination fee	
1013	200	2013	100	Plant filing fee	{ 660/330
1113	300	2113	150	Plant search fee	
1313	160	2313	80	Plant examination fee	
1004	300	2004	150	Reissue filing fee	{ 1,400/700
1114	500	2114	250	Reissue search fee	
1314	600	2314	300	Reissue examination fee	
1005	200	2005	100	Provisional application filing fee	

**SUBTOTAL (1)** \$ 0.00

**2. EXCESS CLAIM FEES**

<u>Extra Claims</u>				<u>Fee from below</u>	<u>Fees Paid (\$)</u>																	
<b>Total Claims</b>	<b>– 20 or HP =</b>			X	=																	
HP = highest number of total claims paid for, if greater than 20																						
<b>Independent Claims</b>	<b>– 3 or HP =</b>			X	=																	
HP = highest number of independent claims paid for, if greater than 3																						
<b>Multiple Dependent Claims</b>																						
					=																	
<table border="1"> <thead> <tr> <th><u>Large Entity</u></th> <th><u>Small Entity</u></th> <th><u>Fee Description</u></th> </tr> </thead> <tbody> <tr> <td>Fee Code 1202</td> <td>Fee Code 2202</td> <td>Each claim over 20</td> </tr> <tr> <td>Fee Code 1201</td> <td>Fee Code 2201</td> <td>Each independent claim over 3</td> </tr> <tr> <td>Fee Code 1203</td> <td>Fee Code 2203</td> <td>Multiple dependent claims, if not paid</td> </tr> <tr> <td>Fee Code 1204</td> <td>Fee Code 2204</td> <td>Reissue: each claim over 20 and more than in the original patent</td> </tr> <tr> <td>Fee Code 1205</td> <td>Fee Code 2205</td> <td>Reissue: each independent claim more than in the original patent</td> </tr> </tbody> </table>					<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	Fee Code 1202	Fee Code 2202	Each claim over 20	Fee Code 1201	Fee Code 2201	Each independent claim over 3	Fee Code 1203	Fee Code 2203	Multiple dependent claims, if not paid	Fee Code 1204	Fee Code 2204	Reissue: each claim over 20 and more than in the original patent	Fee Code 1205	Fee Code 2205	Reissue: each independent claim more than in the original patent
<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>																				
Fee Code 1202	Fee Code 2202	Each claim over 20																				
Fee Code 1201	Fee Code 2201	Each independent claim over 3																				
Fee Code 1203	Fee Code 2203	Multiple dependent claims, if not paid																				
Fee Code 1204	Fee Code 2204	Reissue: each claim over 20 and more than in the original patent																				
Fee Code 1205	Fee Code 2205	Reissue: each independent claim more than in the original patent																				
<b>SUBTOTAL (2) \$ 0.00</b>																						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each add'l 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	– 100 = _____ / 50 = _____ (round up to whole number)	X	_____	_____

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec &amp; drawings except sequences &amp; program listings):</u>
Fee Code 1081	Fee Code 2081	Utility
Fee Code 1082	Fee Code 2082	Design
Fee Code 1083	Fee Code 2083	Plant
Fee Code 1084	Fee Code 2084	Reissue

**SUBTOTAL (3) \$ 0.00**

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fees Paid (\$)</u>
Fee Code	Fee Code	Fee	
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	120	2251	60
1252	450	2252	225
1253	1,020	2253	510
1254	1,590	2254	795
1255	2,160	2255	1,080
1401	500	2401	250
1402	500	2402	250
1403	1,000	2403	500
1451	1,510	1451	1,510
1452	500	2452	250
1453	1,500	2453	750
1501	1,400	2501	700
1502	800	2502	400
1503	1100	2503	550
1462	400	1462	400
1463	200	1463	200
1464	130	1464	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	790	2809	395
1814	130	2814	65
1810	790	2810	395
1801	790	2801	395
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,370	1454	1,370
Other fee (specify) <u>Printed Copy of patent w/o color (10 copies)</u>			<u>30.00</u>
Other fee (specify) _____			
<b>SUBTOTAL (4) \$ 1,430.00</b>			

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Thomas S. FerrillSignature: Thomas S. FerrillDate: 3-3-05Reg. Number: 42,532Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450